



PET  
#5

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BARTLETT, et al.  
 Serial No.: 10/511,461 ✓  
 Filed: October 14, 2004  
 For: Arrangement for Cooling a Roll  
 Group Art Unit: Not yet assigned  
 Attorney's Docket No. 13058N/041750  
 Customer No. 32885

**SUBMISSION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE  
 UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

July 22, 2005

Dear Sir:

This is in response to the Notice of Missing Requirements under 35 U.S.C. 371 in  
 the United States Designated/Elected Office (DO/EO/US) mailed April 22, 2005.

Please direct all correspondence to:

CUSTOMER NO. 32885

Richard S. Myers, Jr.  
 Registration No.: 42,022  
 Stites & Harbison, PLLC  
 424 Church Street, Suite 1800  
 Nashville, TN 37219  
 (615) 244-5200  
 ATTORNEY FOR APPLICANT

Enclosed are the following material(s) for filing in the U.S. Patent Application identified above, necessary for completing the filing requirements in connection with the above-identified application:

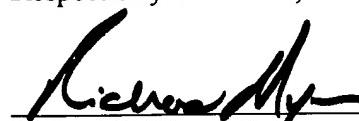
- Executed Declaration and Power of Attorney – 4 pages;
- USPTO surcharge in the amount of \$65.00 and a 2-month extension fee in the amount of \$225; and
- A copy of the Notice of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US).

Pursuant to 37 C.F.R. § 1.17 and 1.136(a) Applicant respectfully petitions for a two (2) month extension of time for filing a response in connection with the present application and the required fee of \$225.00 is attached hereto.

PTO-2038 in the amount of \$290.00 is enclosed to cover the USPTO surcharge for submitting a late declaration and 2-month extension of time fee in response to the Notice of Missing Requirements.

You are authorized to charge any deficiency or credit any overpayment associated with the filing of this application to Deposit Account 50-2752.

Respectfully submitted,



Richard S. Myers, Jr.  
Registration No. 42,022  
STITES & HARBISON, PLLC  
424 Church Street, Suite 1800  
Nashville, TN 37219  
(615) 244-5200  
ATTORNEY FOR APPLICANT

07/23/2005 BFREY1 00000143 10511461

01 FC:2617  
02 FC:2252

65.00 OJ  
225.00 OP

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this:

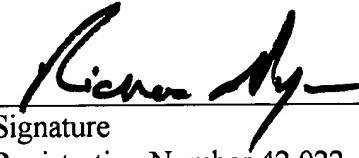
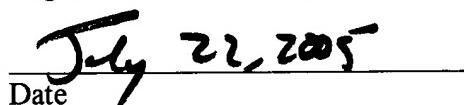
Submission of Missing Requirements under 35 U.S.C. 371;  
Executed Declaration and Power of Attorney – 4 pages;  
PTO-2038 in the amount of \$290.00;  
A copy of the Notice of Missing Requirements under 35 U.S.C. 371 in the  
United States Designated/Elected Office (DO/EO/US); and  
return receipt postcard.

are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on July 22, 2005.

Richard S. Myers, Jr.

  
\_\_\_\_\_  
Signature  
Registration Number 42,022  
  
\_\_\_\_\_  
Date

13058N:041750:633571:1:NASHVILLE

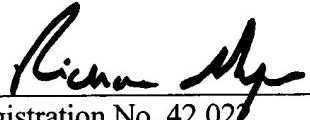
CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Information Disclosure Statement, PTO Form 1449, Prior Art References, and Return Receipt Postcard) are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

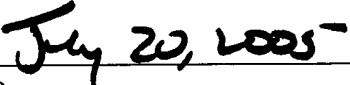
Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on July 20, 2005.

Richard S. Myers, Jr.

  
\_\_\_\_\_  
Registration No. 42,022

Date

  
\_\_\_\_\_  
Date

13058N:041750:631194:1:NASHVILLE

APR 27 2005



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/511,461	Michael Charles Richard Bartlett	13058N/041750
INTERNATIONAL APPLICATION NO.		
PCT/GB03/01641		
I.A. FILING DATE		PRIORITY DATE
04/16/2003		04/16/2002
CONFIRMATION NO. 2845		
371 FORMALITIES LETTER		
 *OC000000015817759*		

Date Mailed: 04/22/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/14/2004
- Copy of the International Search Report filed on 10/14/2004
- Preliminary Amendments filed on 10/14/2004
- Oath or Declaration filed on 10/14/2004
- Small Entity Statement filed on 10/14/2004
- Request for Immediate Examination filed on 10/14/2004
- U.S. Basic National Fees filed on 10/14/2004
- Priority Documents filed on 10/14/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- **\$65** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

DOCKET DATE: 6/22/05 - resp.  
 ASSIGNED BY: FV  
 DOCKETED BY: FV  
 DATE: 5/2/05

- \$65 Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

---

Telephone: (703) 308-9140 EXT 217

**PART 1 - ATTORNEY/APPLICANT COPY**

---

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,461	PCT/GB03/01641	13058N/041750

FORM PCT/DO/EO/905 (371 Formalities Notice)